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UNITED STATES PATENT AND TRADEMARK OFFICE

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UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/811,822	03/20/2001	Philipp Albert	41724W002

CONFIRMATION NO. 9311

## FORMALITIES LETTER



\*OC00000006107700\*

SMITH, GAMBRELL & RUSSELL, LLP  
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WASHINGTON, DC 20036

Date Mailed: 05/23/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 840.**

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

07/12/2001 AZERGAW1 00000042 09811822

01 FC:101  
02 FC:105

710.00 OP  
130.00 OP

SMITH, GAMBRELL & RUSSELL, LLP

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March 20, 2001

Asst. Commissioner for Patents  
Washington, D.C. 20231

PATENT APPLICATION TRANSMITTAL LETTER

Inventor(s): Philipp Albert, Gerd Löhden, Corinna Gall

Björn Borup

Title: ORMOCERS, METHOD FOR THEIR PRODUCTION, AND THEIR USE

Attorney Docket No.: 41724W002

Sir:

Transmitted herewith for filing are the following:

New patent application including 19 pages of text, unsigned Declaration,  
Application transmittal and no fees.

The filing fee, declaration and surcharge fee will be filed in due course.

Respectfully submitted,

By   
Robert G. Weilacher - Reg. No. 20531

me  
Atty Dkt. No.  
41724W002



SECRET  
PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: PHILIPP ALBERT, et al.

Serial No.: 09/811,822

Group Art Unit: 1755

Filed: March 20, 2001

Examiner: Unassigned

For: ORMOCERS, METHOD FOR THEIR PRODUCTION, AND THEIR USE

RESPONSE TO NOTICE TO FILE MISSING PARTS

ATTENTION: BOX MISSING PARTS  
Asst. Commissioner for Patents  
Washington, D.C. 20231

Sir:

In response to the Notice To File Missing Parts Of Application mailed May 23, 2001,  
(copy attached) for the above-identified application, attached is:

- 1) Executed Declaration / Power of Attorney attached with a copy of the original application as filed on March 20, 2001;
- 2) Assignment Recordation Cover Sheet with executed Assignment;
- 3) Information Disclosure Statement w/ PTO-1449 and two cited documents;
- 4) Claim for Priority Transmittal Letter w/ certified Priority document attached; and
- 5) Check in the amount of \$880.00 to cover the filing fee (\$710), surcharge fee for late filing of Declaration (\$130) and Assignment Recordation Fee (\$40).

If any fees are validly required in connection with the filing of this Transmittal, the Commissioner is authorized to charge any additional fees or credit any overpayment to Deposit Account No. 02-4300.

Respectfully submitted,



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PATENT TRADEMARK OFFICE

By

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Dated: July 10, 2001